

**NL - 37 : STATEMENT OF DOWN GRADED INVESTMENTS - 2**Name of the Insurer : **UNITED INDIA INSURANCE COMPANY LIMITED**Registration Number : **545**Statement as on : **30-Sep-2015****STATEMENT OF DOWN GRADED INVESTMENT**Periodicity of Submission - **Quarterly**

Rs. in lakhs

No	Instrument Name	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Downgrade Date	Remarks
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**A. During the Quarter<sup>1</sup>**

1	9.55% Hindalco Industries, 2022	OLDB	15,006.82	27-Apr-12	CRISIL	AA+	AA-	31-Jul-15	Unapproved
2	9.60% Hindalco Industries, 2022	OLDB	1,953.40	02-Aug-13	CRISIL	AA+	AA-	31-Jul-15	Unapproved

**B. As on date<sup>2</sup>**

1	8.57% Central Bank 2025	OLDB	974.14	11-Jun-10	CARE	AA	A+	11-Jan-14	Unapproved
2	9.05% Corporation Bank (Perp)	EUPD	1,000.00	11-Aug-09	CRISIL	AAA	AA	01-Jan-15	Approved
3	11.25% Deccan Chronicle 2012	OLDB	2,994.46	12-Jul-11	CARE	P1+	D	02-Jul-13	Unapproved
4	10.10% First Blue Home Fin 2016	HTDA	500.00	01-Nov-10	ICRA	AA+	AA	30-Jun-11	Approved
5	12.00% First Leasing (I) Ltd 2017	OLDB	1,500.00	31-May-12	CARE	AA	C	10-Jan-14	Unapproved
6	9.50% Gammon India 2020	IODS	2,000.00	18-Jun-10	CARE	AA	D	06-Oct-14	Unapproved
7	11.40% Lakshmi Vilas Bank 2018	OLDB	2,000.00	01-Jan-12	CARE	A-	BBB+	31-Jan-13	Unapproved
8	9.75% JSL Ltd 2022	OLDB	927.00	31-Mar-10	CARE	B	D	26-Apr-12	Unapproved
9	9.55% Hindalco Industry 2022	ECOS	15,006.82	27-Apr-12	CRISIL	AA+	AA-	31-Jul-15	Unapproved
10	9.60% Hindalco Industry 2022	ECOS	1,953.40	02-Aug-13	CRISIL	AA+	AA-	31-Jul-15	Unapproved
11	2.00% Indian Hotel 2017	ECOS	1,497.47	25-Apr-12	ICRA	AA+	AA	14-Sep-12	Approved
12	9.90% Indian Hotels 2017	ECOS	2,500.00	27-Feb-12	ICRA	AA+	AA	14-Sep-12	Approved
13	9.15% Indian Overseas Bank (Perp)	OBPT	1,502.33	05-Dec-11	ICRA	AA	A+	05-Dec-14	Unapproved
14	9.30% Indian Overseas Bank (Perp)	OBPT	1,000.00	06-Sep-12	ICRA	AA	A+	05-Dec-14	Unapproved
15	11.75% Magma Fin Corp 2017	OLDB	1,500.00	05-Dec-11	CARE	AA	AA-	01-Aug-14	Unapproved
16	11.50% Magma Fin Corp 2018	OLDB	1,498.50	06-Sep-12	CARE	AA	AA-	01-Aug-14	Unapproved
17	9.25% OBC 2016	ECOS	500.56	05-Oct-06	ICRA	AAA	AA	02-Apr-12	Approved
18	8.75% OBC 2024	ECOS	2,013.40	11-Feb-09	ICRA	AAA	AA	02-Apr-12	Approved
19	11.15% Reliance Infra 2016	IODS	2,500.00	30-Mar-12	CRISIL	AA+	A	20-Mar-15	Unapproved
20	10.50% Reliance Infra 2018	IODS	2,500.00	27-Jan-12	CRISIL	AA+	A	20-Mar-15	Unapproved
21	11.15% Reliance Infra 2018	IODS	2,500.00	18-Apr-12	CRISIL	AA+	A	20-Mar-15	Unapproved
22	10.00% Reliance Infra 2020	IODS	2,007.39	06-Aug-13	CRISIL	AA	A	20-Mar-15	Unapproved
6	10.25% Reliance Infra 2024	IODS	5,000.00	21-Jan-14	CRISIL	AA	A	20-Mar-15	Unapproved
24	11.00% Simplex Infra 2022	IODS	1,500.00	29-Jun-12	CARE	AA	AA-	06-Sep-13	Unapproved
25	10.75% Simplex Infra 2022	IODS	1,000.00	06-Dec-12	CARE	AA	AA-	06-Sep-13	Unapproved
26	11.75% Srei Infra Fin Ltd 2016	IODS	1,500.00	09-Sep-11	CARE	AA	AA-	03-Dec-12	Unapproved
27	11.30% Srei Infra Fin Ltd 2017	IODS	2,500.00	19-Jul-12	CARE	AA	AA-	03-Dec-12	Unapproved
28	11.75% Srei Infra Fin Ltd 2017	IODS	1,501.65	12-Jan-12	CARE	AA	AA-	03-Dec-12	Unapproved
29	11.85% Srei Infra Fin Ltd 2017	IODS	2,000.00	30-Nov-11	CARE	AA	AA-	03-Dec-12	Unapproved
30	6.00% Tata Power 2015	IODS	298.97	09-Jan-97	CRISIL	AAA	AA-	10-Jan-14	Unapproved
31	9.15% Tata Power 2021	IODS	497.04	17-Sep-10	CRISIL	AA	AA-	10-Jan-14	Unapproved
32	9.15% Tata Power 2021	IODS	976.20	23-Jul-10	CRISIL	AA+	AA-	10-Jan-14	Unapproved
33	9.15% Tata Power 2022	IODS	496.90	17-Sep-10	CRISIL	AA	AA-	10-Jan-14	Unapproved
34	9.15% Tata Power 2023	IODS	496.77	17-Sep-10	CRISIL	AA	AA-	10-Jan-14	Unapproved
35	9.15% Tata Power 2024	IODS	495.85	17-Sep-10	CRISIL	AA	AA-	10-Jan-14	Unapproved
36	9.15% Tata Power 2025	IODS	992.43	17-Sep-10	CRISIL	AA	AA-	10-Jan-14	Unapproved

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 Statement as on : **30-Sep-2015**  
**STATEMENT OF DOWN GRADED INVESTMENT**  
 Periodicity of Submission - **Quarterly**



Rs. in lakhs

No	Instrument Name	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Downgrade Date	Remarks
37	10.75% Tata Power 2072	IODS	5,000.00	21-Aug-12	CRISIL	AA	AA-	10-Jan-14	Unapproved
38	11.40% Tata Power (Perp)	IODS	2,500.00	02-Jun-11	CRISIL	AA	AA-	10-Jan-14	Unapproved
39	11.20% Tata Communications 2016	ICTD	500.00	23-Jan-09	CARE	AAA	AA+	30-Nov-10	Approved
40	9.85% Tata Communications 2019	ICTD	1,012.34	10-Aug-09	CARE	AAA	AA+	30-Nov-10	Approved
41	11.00% TNEB 2015	SGOA	400.00	14-Nov-08	CRISIL	A+	A	04-Jan-15	Guranteed
42	8.64% TNEB 2020	SGOA	1,000.94	11-May-10	CRISIL	A+	A	04-Jan-15	Guranteed
43	9.35% UCO Bank 2016	OLDB	2,002.54	06-Jan-06	FITCH	AA-	A+	04-Jan-15	Unapproved

**CERTIFICATION**

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 06.11.2015

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**General Manager**

**Note:**

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investmet (COI) shall be as per INV/GLN/001/2003-04